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FEB 16 2006

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## FACSIMILE TRANSMITTAL SHEET

TO: USPTO TC3800 (STATUS INQUIRY)	FROM: NATHANIEL LEVIN
COMPANY: USPTO	DATE: FEBRUARY 16, 2006
FACSIMILE NO: 571-273-8300	Total No of Pages Including Cover: 3
RE: Serial No: 10/780,313 - First named Inventor: OPPERMAN, DANIEL (Attorney Docket No: G08.072/U)	SENDER'S TELEPHONE NO: (203) 972-3480

SIRS -

Attached is a Status Inquiry for the above-identified application.

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IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

PTO Customer Number 28062

Inventor(s): OPPERMAN et al.

Confirmation No.: 1068

Serial No.: 10/780,313

Examiner: Sough, Hyung Sub

Filing Date: February 17, 2004

Group Art Unit: 3628

Title: METHODS AND APPARATUS FOR FACILITATING FINANCIAL INSTRUMENT TRADING  
ORDERS

COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, VA 22313-1450

STATUS INQUIRY

Sir:

1. More than 23 months have passed since:

☒ NEW APPLICATION

the filing of this application on 02-17-2004. No communication has been received from Patent and Trademark Office indicating action on this application.

☐ AMENDED APPLICATION

the filing of a response on \_\_\_\_\_. No further communication has been received from the Patent and Trademark Office.

☐ APPEALED APPLICATION

The Appeal Brief was filed on \_\_\_\_\_.

☐ An Examiner's Answer was mailed on \_\_\_\_\_.

☐ A Reply Brief to the Examiner's Answer was submitted on \_\_\_\_\_.

☐ ALLOWED APPLICATION

the mailing of Form POL-327 and/or Examiner's Amendment on \_\_\_\_\_.

2. Kindly advise the undersigned of the present status of this application, by checking the appropriate box on the next page.

I hereby certify this correspondence is being facsimile filed to fax number 571-273-8300, to TC3800, and addressed to the Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

Date of deposit: February 16, 2006

Typed Name: Edith Martin

Signature: Edith Martin

Respectfully submitted,

Nathanial Levin

Nathanial Levin

Attorney/Agent for Applicant(s)

Reg. No. 34,860

Date: February 16, 2006

Fax No: (203) 972-7827

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**STATUS INQUIRY REPLY**

APPLICATION SERIAL NO. 10/780,313 is currently

☐ Assigned to Group \_\_\_\_\_ and awaits:

☐ Action by the examiner; anticipated date of action: \_\_\_\_\_.

☐ Applicant's response to Office Action mailed \_\_\_\_\_.

APPEAL NO. \_\_\_\_\_

☐ Awaiting action by the board of Appeals and Interferences

☐ Date of hearing expected \_\_\_\_\_

☐ Decision expected \_\_\_\_\_.